

Complexity of Universal Programs

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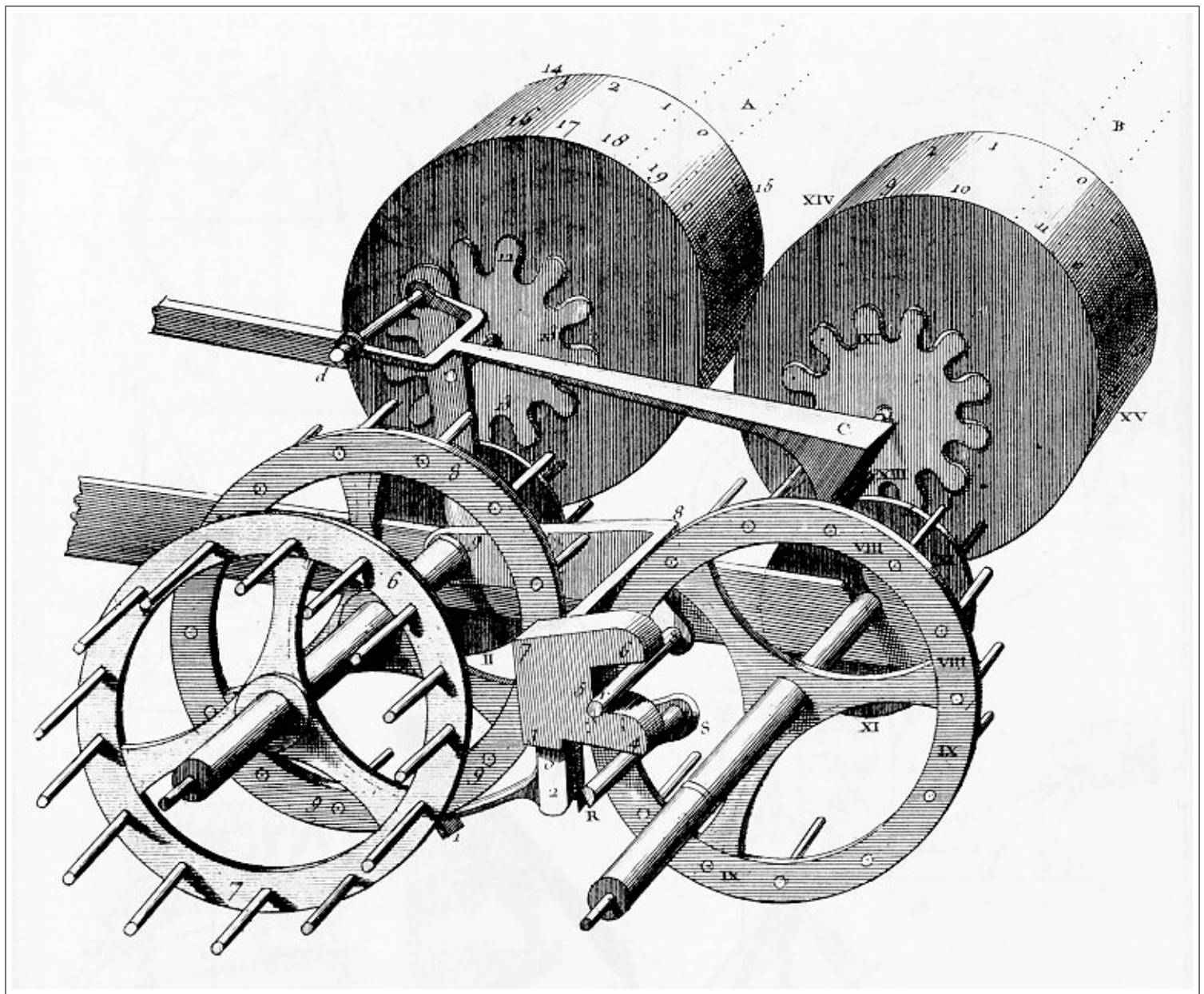
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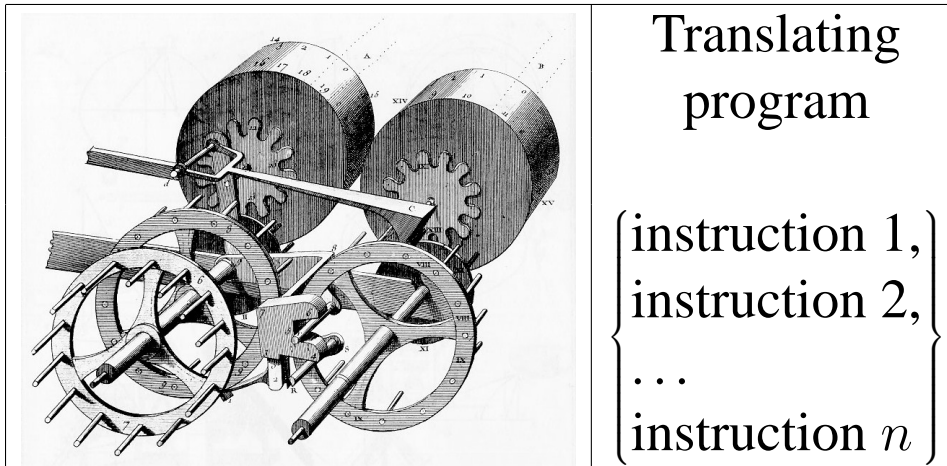
What is a machine and a program?



A machine programmed for translating

$$\Sigma = \{\sqcup, 0, 1, \dots, 9, a, b, \dots, z\}$$

colorless \sqcup green \sqcup ideas \sqcup sleep \sqcup furiously

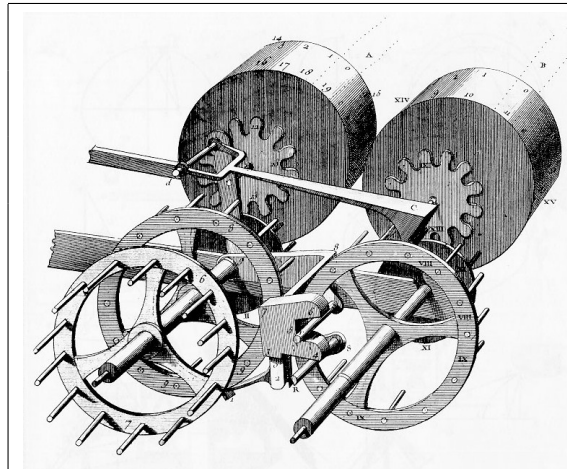


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A machine programmed for multiplying

$$\Sigma = \{\square, 0, 1, \dots, 9, a, b, \dots, z\}$$

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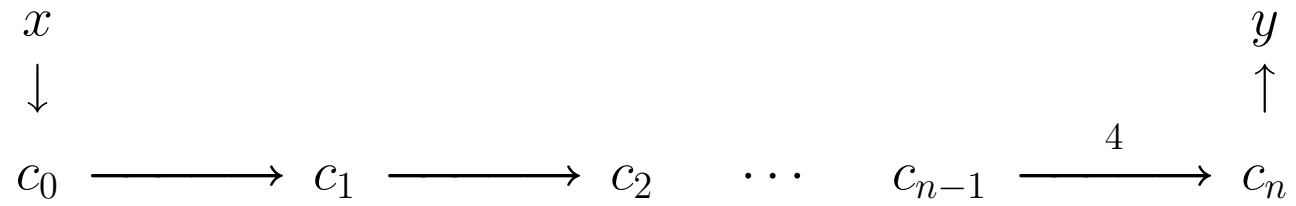


Multiplying
program
{
instruction 1,
instruction 2,
...
instruction n
}



9876543210

A programmed machine is a dynamic system



Formal definition of a programmed machine

- A *programmed machine* is an ordered pair (\mathcal{M}, P) , where \mathcal{M} is a *machine* and P a *program* for \mathcal{M} .

- A *machine* \mathcal{M} is a 5-tuple $(\Sigma, C, \alpha, \omega, \mathcal{I})$, where

Σ , *the alphabet* of \mathcal{M} , is a finite not empty set;

C , is a set, generally infinite, of *configurations*; the ordered pairs (c, c') of elements of C are called *transitions*;

α , *the input function*, maps each element x of Σ^* to a configuration $\alpha(x)$;

ω , *the output function*, maps each configuration c to an element $\omega(c)$ of Σ^* ;

\mathcal{I} , is a countable set of *instructions*, an *instruction* being a set of *compatibles* transitions, that is to say, whose first components are all distinct.

- A *program* P for a machine \mathcal{M} is a finite subsets of the instructions set \mathcal{I} of \mathcal{M} , such that the transitions of $\cup P$ are compatible. The set of configurations occurring in P is denoted by C_P . A configuration c of \mathcal{M} is *final for* P , if there exists no transition of $\cup P$ starting with c .

The main functions of a programmed machine

Let $\mathcal{M} = (\Sigma, C, \alpha, \omega, \mathcal{I})$ be a machine and P a program for \mathcal{M} . For all words x on Σ and configurations c, c' of C :

$$\text{orbit}_{\mathcal{M}}(P, x) = \begin{cases} \text{the longest sequence } c_0, c_1, c_2, \dots \text{ with} \\ c_0 = \alpha(x) \text{ and each } (c_i, c_{i+1}) \text{ an element of } \cup P. \end{cases}$$

$$\text{out}_{\mathcal{M}}(P, x) = \begin{cases} \nearrow, & \text{if } \text{orbit}(P, x) \text{ is infinite,} \\ \omega(c_n), & \text{if } \text{orbit}(P, x) \text{ ends with } c_n \end{cases}$$

$$\text{track}_{\mathcal{M}}(P, c, c') = \begin{cases} \text{the shortest sequence of the form} \\ (c_0, c_1) (c_1, c_2) (c_2, c_3) \dots (c_{n-1}, c_n), \\ \text{with } c = c_0, \text{ each } (c_i, c_{i+1}) \in \cup P, c_n = c', \\ \nearrow, \text{ if this shortest sequence does not exist,} \end{cases}$$

$$\text{track}_{\mathcal{M}}(P, x) = \begin{cases} \text{the longest sequence of the form} \\ (c_0, c_1) (c_1, c_2) (c_2, c_3) \dots \\ \text{with } \text{orbit}(P, x) = c_0, c_1, c_2, \dots \end{cases}$$

$$\text{cost}_{\mathcal{M}}(P, x) = \begin{cases} \infty, & \text{if } \text{track}(P, x) \text{ is infinite,} \\ |\text{track}(P, x)|, & \text{if } \text{track}(P, x) \text{ is finite,} \end{cases}$$

- Let \mathcal{M}_1 and \mathcal{M}_2 be two machines of same alphabet Σ and let \mathcal{P}_1 and \mathcal{P}_2 be the sets of their programs.

- **Definition** Two programmed machines of the form (\mathcal{M}_1, P_1) and (\mathcal{M}_2, P_2) are *equivalent* if, for all $x \in \Sigma^*$,

$$\begin{aligned} out_{\mathcal{M}_1}(P_1, x) &= out_{\mathcal{M}_2}(P_2, x), \\ cost_{\mathcal{M}_1}(P_1, x) &= cost_{\mathcal{M}_2}(P_2, x). \end{aligned}$$

- **Definition** The program transformation $f_1 : \mathcal{P}_1 \rightarrow \mathcal{P}_2$ *simulates* \mathcal{M}_1 on \mathcal{M}_2 if, for all $P_1 \in \mathcal{P}_1$, the programmed machines (\mathcal{M}_1, P_1) and $(\mathcal{M}_2, f_1(P_1))$ are equivalent.

Turing machine



Alan M. Turing, "On computable numbers with an application to the Entscheidungsproblem", *Proc. London Math. Society*, 2, 42, pp. 230–265, 1936.

Current configuration



$[q_i, \mathbf{ABR}, q_j]$

Next configuration



$$[q_i, \mathbf{ABL}, q_j]$$

Next configuration



Program example

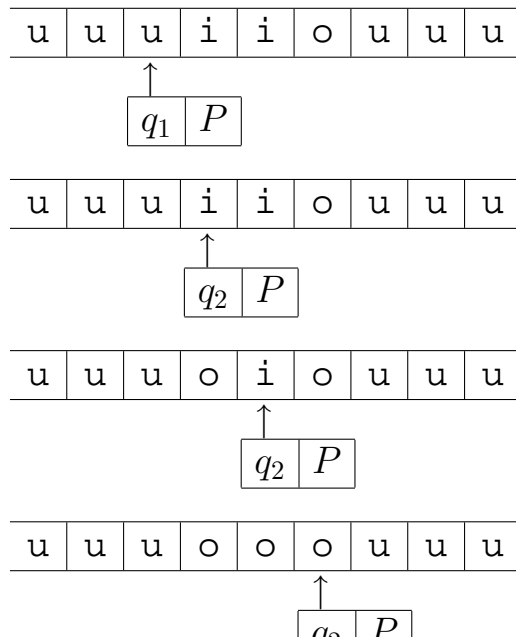
- With program

$$P = \left\{ \begin{array}{l} [q_1, uuR, q_2], \\ [q_2, oiR, q_2], \\ [q_2, ioR, q_2], \\ [q_2, uuL, q_3], \\ [q_3, ooL, q_3], \\ [q_3, iiL, q_3] \end{array} \right\},$$

- and input

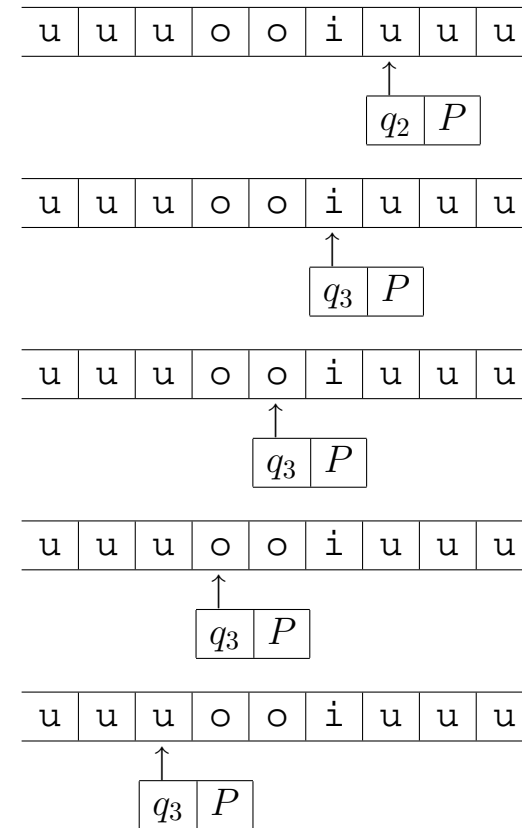
iiio,

- the machine moves through the sequence of configurations



- and produces the result

ooi



Formal definition of a Turing machine

A Turing machine has a 5-tuple of the form $(\Sigma, C, \mathcal{I}, \alpha, \omega)$ where,

- $\Sigma \neq \Sigma_{\mathbf{u}}$, with $\Sigma_{\mathbf{u}} = \Sigma \cup \{\mathbf{u}\}$,
- C is the set of 4-tuples of the form $[q_i, \cdot x, a, y \cdot]$, with q_i being any state, x, y taken from $\Sigma_{\mathbf{u}}^*$ and a taken from $\Sigma_{\mathbf{u}}$,
- $\alpha(x) = [q_1, \varepsilon, \mathbf{u}, x]$, for all $x \in \Sigma^*$,
- $\omega([q_i, \cdot x, a, y \cdot])$ is the longest element of Σ^* beginning $y \cdot$,
- \mathcal{I} is the set of instructions denoted and defined, for all states q_i, q_j and elements a, b of $\Sigma_{\mathbf{u}}$, by
 $[q_i, abL, q_j] \stackrel{\text{def}}{=} \{([q_i, \cdot xc, a, y \cdot], [q_j, \cdot x, c, by \cdot]) \mid (x, c, y) \in E\}$,
 $[q_i, abR, q_j] \stackrel{\text{def}}{=} \{([q_i, \cdot x, a, cy \cdot], [q_j, \cdot xb, c, y \cdot]) \mid (x, c, y) \in E\}$,
with $E = \Sigma_{\mathbf{u}}^* \times \Sigma_{\mathbf{u}} \times \Sigma_{\mathbf{u}}^*$.

Turing machine with internal direction

Program example

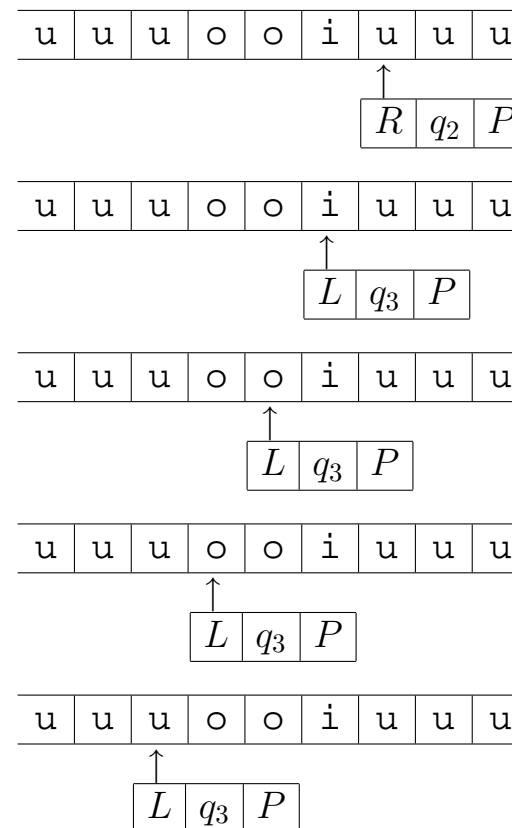
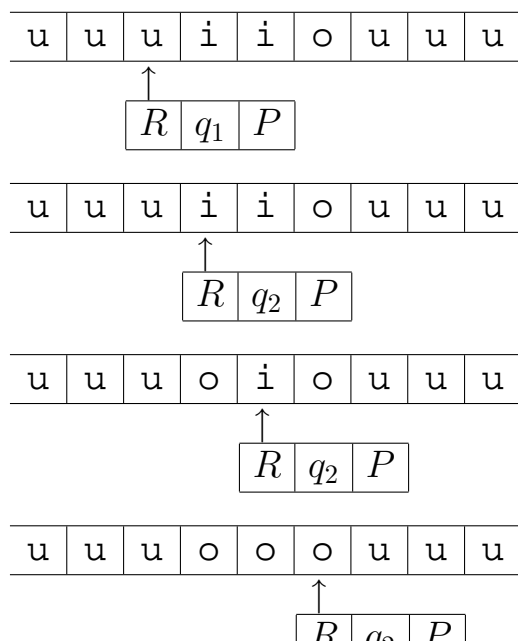
- With program

$$P = \left\{ \begin{array}{l} [q_1, uu+, q_2], \\ [q_2, oi+, q_2], \\ [q_2, io+, q_2], \\ [q_2, uu-, q_3], \\ [q_3, oo+, q_3], \\ [q_3, ii+, q_3] \end{array} \right\},$$

- and input

iiio,

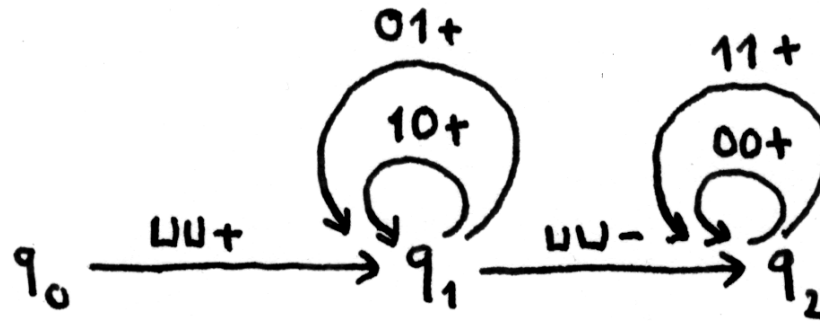
- the machine moves through the sequence of configurations



- and produce the result

ooi

Graph of a program

$$\left\{ \begin{array}{l} [q_1, uu+, q_2], \\ [q_2, oi+, q_2], \\ [q_2, io+, q_2], \\ [q_2, uu-, q_3], \\ [q_3, oo+, q_3], \\ [q_3, ii+, q_3] \end{array} \right\}$$


A Turing machine, with internal direction, has a 5-tuple of the form $(\Sigma, C, \alpha, \omega, \mathcal{I})$ where,

- $\Sigma \neq \Sigma_{\mathbf{u}}$, avec $\Sigma_{\mathbf{u}} = \Sigma \cup \{\mathbf{u}\}$,
- C is the set of 5-tuples of the form $[d, q_i, \cdot x, a, y\cdot]$, with $d \in \{L, R\}$, q_i being a state, x, y taken from $\Sigma_{\mathbf{u}}^*$ and a taken from $\Sigma_{\mathbf{u}}$,
- $\alpha(x) = [R, q_1, \varepsilon, \mathbf{u}, x]$, for all $x \in \Sigma^*$,
- $\omega([d, q_i, \cdot x, a, y\cdot])$ is the longest element of Σ^* beginning $y\cdot$,
- \mathcal{I} is the set of instruction denoted and defined, for all states q_i, q_j , all elements a, b of $\Sigma_{\mathbf{u}}$ and all $s \in \{+, -\}$, by

$$[q_i, abs, q_j] \stackrel{\text{def}}{=} \{([d, q_i, \cdot xc, a, y\cdot], [L, q_j, \cdot x, c, by\cdot]) \mid (d, s) \in E_1 \text{ and } (x, c, y) \in F\} \cup \{([d, q_i, \cdot x, a, cy\cdot], [R, q_j, \cdot xb, c, y\cdot]) \mid (d, s) \in E_2 \text{ and } (x, c, y) \in F\},$$
 with $E_1 = \{(L, +), (R, -)\}$, $E_2 = \{(L, +), (R, -)\}$ and $F = \Sigma_{\mathbf{u}}^* \times \Sigma_{\mathbf{u}} \times \Sigma_{\mathbf{u}}^*$.

Relationship between the two types of Turing machines

Program transformations f_1 and f_2

• Let \mathcal{M}_1 and \mathcal{M}_2 be machines of same alphabet Σ , the first one of type Turing and the second one of type Turing with internal direction and let \mathcal{P}_1 and \mathcal{P}_2 denote the sets of their programs.

• **Property** The program transformations $g_1 : \mathcal{P}_1 \rightarrow \mathcal{P}_2$ and $g_2 : \mathcal{P}_2 \rightarrow \mathcal{P}_1$, defined below, strongly simulate \mathcal{M}_1 on \mathcal{M}_2 and \mathcal{M}_2 on \mathcal{M}_1 :

$$g_1(P_1) \stackrel{\text{def}}{=} \{[q_{2i-h}, abs, q_{2j-k}] \mid [q_i, abd, q_j] \in P_1 \text{ and } (h, k, d, s) \in E\},$$

$$g_2(P_2) \stackrel{\text{def}}{=} \{[q_{2i-h}, abs, q_{2j-k}] \mid [q_i, abd, q_j] \in P_2 \text{ and } (h, k, s, d) \in E\},$$

with

$$E \stackrel{\text{def}}{=} \left\{ \begin{array}{l} (1, 1, +, R) \\ (1, 0, -, L) \end{array} \right\} \cup \left\{ \begin{array}{l} (0, 1, -, R) \\ (0, 0, +, L) \end{array} \right\}.$$

• **Theorem 1** The program transformations $f_1 : \mathcal{P}_1 \rightarrow \mathcal{P}_2$ and $f_2 : \mathcal{P}_2 \rightarrow \mathcal{P}_1$, defined, for $\ell = 1$ and $\ell = 2$, by $f_\ell = \text{clean} \circ g_\ell$, with g_ℓ defined above, simulate \mathcal{M}_1 on \mathcal{M}_2 and \mathcal{M}_2 on \mathcal{M}_1 and are such that

$$f_2 \circ f_1 \circ f_2 \circ f_1 = f_2 \circ f_1, \quad f_1 \circ f_2 \circ f_1 \circ f_2 = f_1 \circ f_2.$$

Arithmetic machine with indirect addressing

Arithmetic machine with indirect addressing

Definition An indirect addressing arithmetic machine has a 5-tuple $(\Sigma, C, \alpha, \omega, \mathcal{I})$ where,

- $\Sigma = \{c_1, \dots, c_m\}$,
- C is the set of infinite sequences on natural integers of the form $r = (r_0, r_1, r_2, \dots)$,
- $\alpha(a_1 \dots a_n) = (0, 25, 1, \dots, 1, r_{24+1}, \dots, r_{24+n}, 0, 0, \dots)$, with r_{24+i} equal to $1, \dots, m$ depending whether a_i equals c_1, \dots, c_m ,
- $\omega(r_0, r_1, \dots) = a_1 \dots a_n$, with a_i equal to c_1, \dots, c_m depending whether a_i equals $1, \dots, m$, and satisfying the condition that n is the greatest integer such that $r_{r_1}, \dots, r_{r_1+n}$ are elements of $\{1, \dots, m\}$,

- \mathcal{I} is the set of instructions denoted and defined, for all natural integers i, j, k , by:

$$[i, cst, j, k] \stackrel{\text{def}}{=} \{(r, s') \in C^2 \mid r_0 = i, s_j = k \text{ and } s_i = r_i \text{ elsewhere}\},$$

$$[i, plus, j, k] \stackrel{\text{def}}{=} \{(r, s') \in C^2 \mid r_0 = i, s_j = r_j + r_k \text{ and } s_i = r_i \text{ elsewhere}\},$$

$$[i, sub, j, k] \stackrel{\text{def}}{=} \{(r, s') \in C^2 \mid r_0 = i, s_j = r_j \div r_k \text{ and } s_i = r_i \text{ elsewhere}\},$$

$$[i, from, j, k] \stackrel{\text{def}}{=} \{(r, s') \in C^2 \mid r_0 = i, s_j = r_{r_k} \text{ and } s_i = r_i \text{ elsewhere}\},$$

$$[i, to, j, k] \stackrel{\text{def}}{=} \{(r, s') \in C^2 \mid r_0 = i, s_{r_j} = r_k \text{ and } s_i = r_i \text{ elsewhere}\},$$

$$[i, ifzero, j, k] \stackrel{\text{def}}{=} \{(r, s') \in C^2 \mid r_0 = i, s_0 = \begin{cases} r_k, & \text{if } r_j = 0, \\ r_0 + 1, & \text{if } r_j \neq 0 \end{cases} \text{ and } s_i = r_i \text{ elsewhere}\},$$

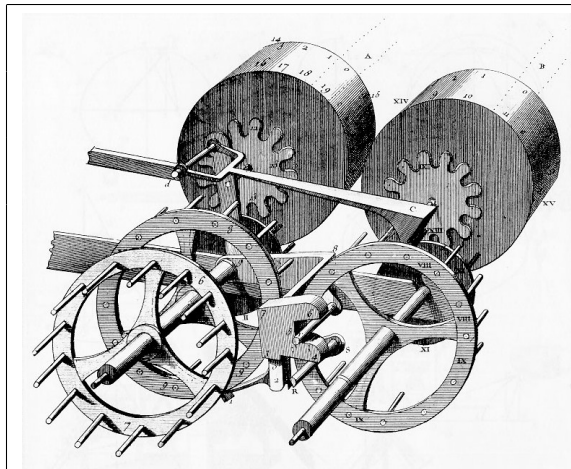
with s' equal to s except that $s'_0 = s_0 + 1$ and with $r_j \div r_k = \max\{0, r_j - r_k\}$.

Universal program and coding

Universal program for translating

$$\Sigma = \{\sqcup, 0, 1, \dots, 9, a, b, \dots, z\}$$

Translating program colorless␣green␣ideas␣sleep␣furiously



Universal
program

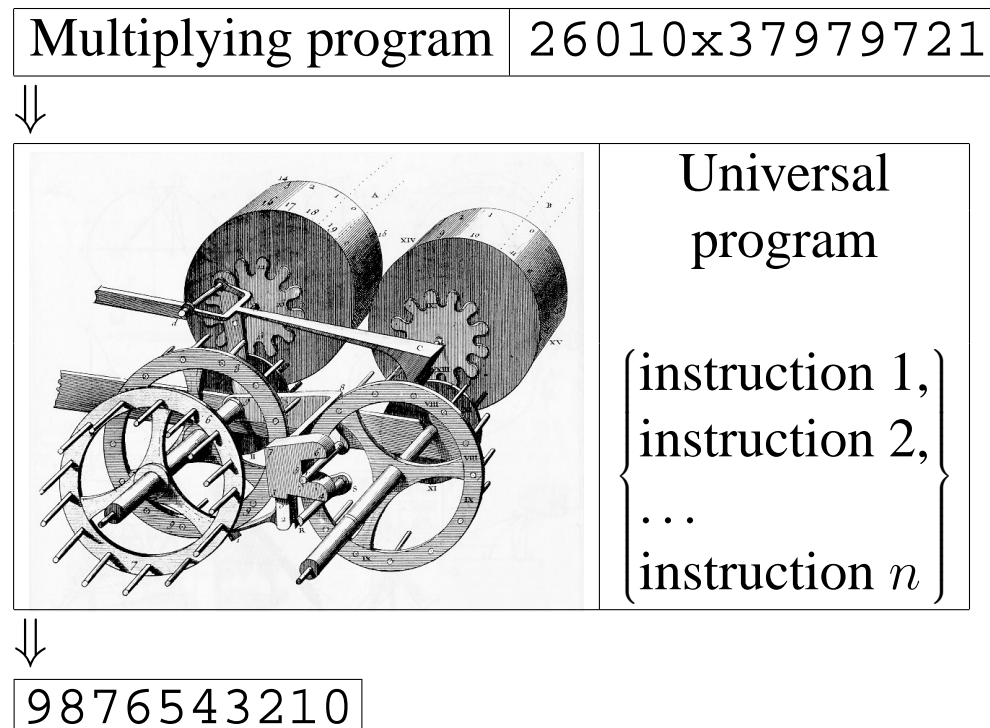
{ instruction 1,
instruction 2,
...
instruction n }



des␣idees␣vertes␣incolores␣dorment␣furiusement

Same universal program for multiplying

$$\Sigma = \{\sqcup, 0, 1, \dots, 9, a, b, \dots, z\}$$



Definition of a universal pair

- Let $\mathcal{M} = (\Sigma, C, \alpha, \omega, \mathcal{I})$ be a machine and let us code each program P for \mathcal{M} by a word $code(P)$ on Σ .

Definition The pair $(U, code)$, the program U and the coding function $code$, are said to be *universal* for \mathcal{M} , if, for all programs P of \mathcal{M} and for all $x \in \Sigma^*$,

$$\boxed{out(U, code(P) \cdot x) = out(P, x)}.$$

- If in the above formula we replace P by U , and x by $code(U)^n \cdot x$ we get :

$$out(U, code(U)^{n+1} \cdot x) = out(U, code(U)^n \cdot x)$$

and thus:

Property If $(U, code)$ is a universal pair, then for all $n \geq 0$ and $x \in \Sigma^*$,

$$\boxed{out(U, code(U)^n \cdot x) = out(U, x)}.$$

Example of an universal program running on itself

Translating program

Input: English sentence

1. Give to i the value 0.
2. Increase i by 1.
3. If i is greater than the number of words to be translated, go to 6.
4. Translate the i^{th} word.
5. Go to 2.
6. Stop.

```
colorless␣  
green␣idea  
s␣sleep␣fu  
riously
```

Universal program

1. Give to n the value 0.
2. Increase n by 1.
3. Find instruction number n at the beginning of the input.
4. If the found instruction is a halting instruction, go to 12.
5. If the found instruction is of the form "*if test then go to p*", go to 8.
6. Execute the found instruction.
7. Go to 2.
8. Perform the test required by the found instruction.
9. If the test succeed, go to 2.
10. Give to n the value p .
11. Go to 3.
12. Stop.

Input: translating program + English sentence³¹

1. Give to i the value 0.
2. Increase i by 1.
3. If i is greater than ..., go to 6.
4. Translate the i^{th} word.
5. Go to 2.
6. Stop.

colorless□
green□idea
s□sleep□fu
riously

Same universal program

1. Give to n the value 0.
2. Increase n by 1.
3. Find instruction number n at the beginning of the input.
4. If the found instruction is a halting instruction, go to 12.
5. If the found instruction is of the form "if test then go to p ", go to 8.
6. Execute the found instruction.
7. Go to 2.
8. Perform the test required by the found instruction.
9. If the test is not true, go to 2.
10. Give to n the value p .
11. Go to 3.
12. Stop.

Input: universal program + trans-³⁵lating program + English sentence

- | | |
|--------------------|--|
| 1. Give ... | |
| 2. Increase ... | |
| 3. Find ... | |
| 4. If ... | |
| 5. If ... | |
| 6. Execute ... | |
| 7. Go to 2. | |
| 8. Perform ... | |
| 9. If the test ... | |
| 10. Give ... | |
| 11. Go to 3. | |
| 12. Stop. | |
- | |
|---|
| 1. Give to i the value 0. |
| 2. Increase i by 1. |
| 3. If i is greater than ..., go to 6. |
| 4. Translate the i^{th} word. |
| 5. Go to 2. |
| 6. Stop. |

colorless□
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riously

Complexity and introspection coefficient

Definition of complexity and introspection coefficient

Let $(U, code)$ be a universal pair for the machine $\mathcal{M} = (C, \mathcal{I}, \Sigma, \alpha, \omega)$.

Definition Given a program P for \mathcal{M} and a word x on Σ such that $cost(P, x) \neq \infty$, the *complexity* of $(U, code)$ is the real number denoted and defined by

$$\lambda(P, x) = \frac{cost(U, code(P) \cdot x)}{cost(P, x)}.$$

Definition If for all $x \in \Sigma^*$, with $cost(U, x) \neq \infty$, the real number

$$\lim_{n \rightarrow \infty} \lambda(U, code(U)^n \cdot x) = \lim_{n \rightarrow \infty} \frac{cost(U, code(U)^{n+1} \cdot x)}{cost(U, code(U)^n \cdot x)}$$

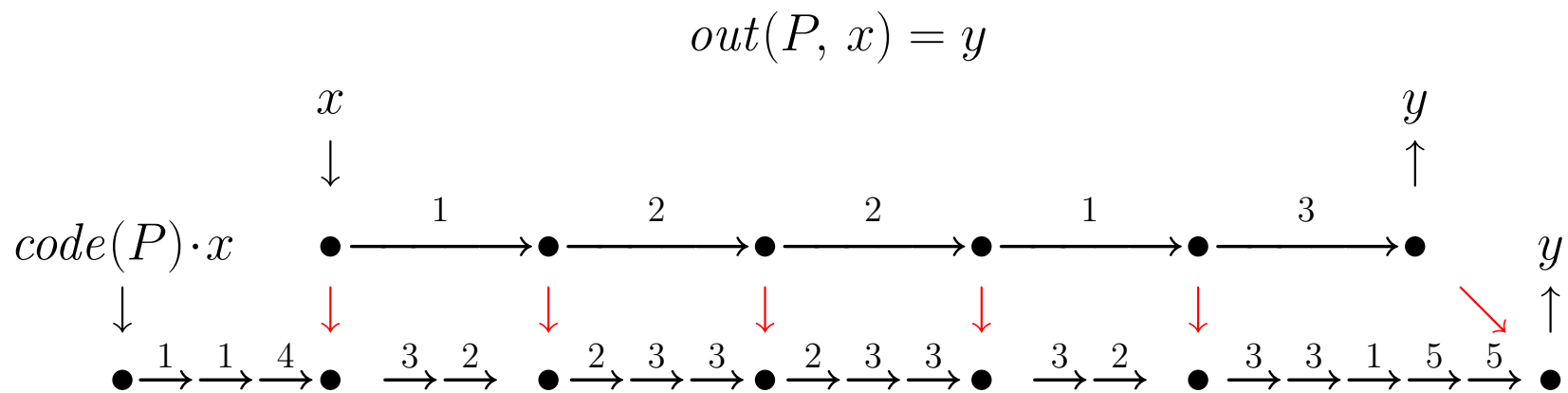
exists and does not depend on x , then this real number is the *introspection coefficient* of the universal pair $(U, code)$.

Keeping the same complexity and introspection coefficient

- Let \mathcal{M}_1 be a Turing machine and \mathcal{M}_2 a Turing machine with internal direction and same alphabet Σ .
- Let f_1 and f_2 be the program transformations of Theorem 1.
- **Property** If $P_1 = f_2(P_2)$ or $P_2 = f_1(P_1)$ and if $(U_2, code_2)$ is a universal pair for \mathcal{M}_2 then the pair $(U_1, code_1) \stackrel{\text{def}}{=} (f_2(U_2), code_2 \circ f_1)$
 1. is universal for \mathcal{M}_1 ,
 2. has a complexity $\lambda_1(P_1, x)$, not defined or equal to the complexity $\lambda_2(P_2, x)$ of the pair $(U_2, code_2)$, depending whether the real number $\lambda_2(P_2, x)$ is not defined or defined,
 3. admits the same introspection coefficient as $(U_2, code_2)$ or does not admit an introspection coefficient, depending whether $(U_2, code_2)$ admits or does not admit one,
 4. is such that $code_1(P_1) = code_2(P_2)$.

Existence and value of the introspection coefficient

Toward the labeling hypothesis



$$out(U, code(P) \cdot x) = y$$

Let $\mathcal{M} = (\Sigma, C, \alpha, \omega, \mathcal{I})$ be a machine and $(U, code)$ a universal pair for \mathcal{M}

Hypothesis There exist

- a synchronization function $\Phi : C_U \rightarrow C_U$, with $\Phi(c)$ final for U when c is final for U ,
- a labeling function $\mu : (\cup U)^* \rightarrow (1..n)^*$ with n a positive integer and μ being surjective, such that $\mu(t_1 \dots t_k) = \mu(t_1) \dots \mu(t_k)$, for all $t_1 \dots t_k \in (\cup U)^*$,
- an initial sequence of labels $\delta \in (1..n)^*$, independent of x , such that

$$\delta = \mu(\text{track}(U, \alpha(\text{code}(U) \cdot x), \Phi(\alpha(x))))), \text{ for all } x \in \Sigma^*,$$

- a label rewriting $\varphi : 1..n \rightarrow (1..n)^*$ such that, for all $(c, c') \in \cup U$,
- $$\varphi(\mu(c, c')) = \mu(\text{track}(U, \Phi(c), \Phi(c'))).$$

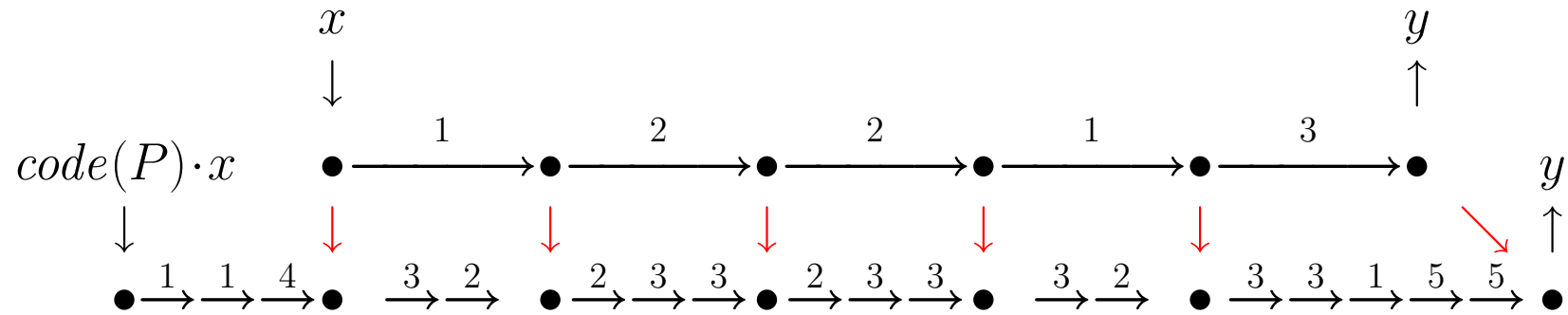
Vector B and Matrix A related to δ and φ

$$B = \begin{bmatrix} b_1 \\ \vdots \\ b_n \end{bmatrix}, \quad b_i = \text{number of occurrences of } i \text{ in } \delta.$$

$$A = \begin{bmatrix} a_{11} \dots a_{1n} \\ \vdots \quad \quad \vdots \\ a_{n1} \dots a_{nn} \end{bmatrix}, \quad a_{ij} = \text{number of occurrences of } i \text{ in } \varphi(j).$$

Vector B and Matrix A related to δ and φ , next

- For example, for



- with $n = 5$, we get

$$B = \begin{bmatrix} 2 \\ 0 \\ 0 \\ 1 \\ 0 \end{bmatrix}, \quad A = \begin{bmatrix} 0 & 0 & 1 & 0 & 0 \\ 1 & 1 & 0 & 0 & 0 \\ 1 & 2 & 2 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 2 & 0 & 0 \end{bmatrix}.$$

Properties of A and B

- **Property** For all $x \in \Sigma^*$, with $out(U, x) \neq \nearrow$, by introducing the column matrix,

$$X^{(k)} = \begin{bmatrix} x_1^{(k)} \\ \vdots \\ x_n \end{bmatrix}, \quad x_i^{(k)} = \begin{cases} \text{number of occurrences of } i \\ \text{in } \mu(track(U, code(U)^k \cdot x)), \end{cases}$$

we have

$$\boxed{X^{(k+1)} = AX^{(k)} + B}$$

- **Thus**

$$cost(U, code(U)^k \cdot x) = \|X^{(k)}\|, \quad \text{and} \quad \frac{cost(U, code(U)^{k+1} \cdot x)}{cost(U, code(U)^k \cdot x)} = \frac{\|X^{(k+1)}\|}{\|X^{(k)}\|}.$$

Main theorem

Here B and A are the vector and the matrix associated with β and φ .

Theorem 2 If the matrix A admits a real eigenvalue λ , whose multiplicity is equal to 1 and whose value is strictly greater than 1 and strictly greater than the absolute value λ' of the other eigenvalues of A then:

- the limit matrix $\bar{A} = \lim_{n \rightarrow \infty} (\frac{1}{\lambda}A)^n$ exists, and is not everywhere zero,
- if $\|\bar{A}B\| \neq 0$, the introspection coefficient of U exists and is equal to λ ,
- if ℓ is a real numbers such that $\lambda' < \ell < \lambda$ and the X_i 's are column vectors defined by

$$X_0 = B, \quad X_{n+1} = \frac{1}{\ell}AX_n,$$

then, when $n \rightarrow \infty$,

$$\|X_n\| \rightarrow \begin{cases} 0, & \text{if } \|\bar{A}B\| = 0, \\ \infty, & \text{if } \|\bar{A}B\| \neq 0. \end{cases}$$

Universal pair $(U_2, code_2)$ for Turing machine with internal direction

Presentation of $(U_2, code_2)$

- $(U_2, code_2)$ is a universal pair for the Turing machine with internal direction \mathcal{M}_2 with alphabet

$$\Sigma = \{\circ, \dot{\mathbf{i}}, \mathbf{z}\}.$$

- In order to assign a position to each instruction $[q_i, abs, q_j]$ of a program, we introduce the numbers:

$$\pi(i, a) = 4(i - 1) + \begin{cases} 1, & \text{if } a = \mathbf{u} \\ 2, & \text{if } a = \circ \\ 3, & \text{if } a = \dot{\mathbf{i}} \\ 4, & \text{if } a = \mathbf{z} \end{cases}, \quad \pi(i) = \frac{1}{2}(f(i, \circ) + f(i, \dot{\mathbf{i}})),$$

defined for all positive integers i and symbols $a \in \{\mathbf{u}, \circ, \dot{\mathbf{i}}, \mathbf{z}\}$.

Coding function $code_2$

- Let P_2 be a program for \mathcal{M}_2 and let $P'_2 = f_1(f_2(P_2))$. We take $code_2(P_2) = code'_2(P'_2)$, with $code'_2(P'_2)$ the word on $\{\circ, \mathfrak{i}, \mathfrak{z}\}$:

$$\mathfrak{z}I_{4n}\mathfrak{z} \dots \mathfrak{z}I_{k+1}\mathfrak{z}I_k\mathfrak{z}I_{k-1}\mathfrak{z} \dots \mathfrak{z}I_1\mathfrak{z}\circ\mathfrak{i} \dots \mathfrak{i}\mathfrak{z}\mathfrak{z},$$

where n is the number of states of P'_2 , and the size of the *shuttle* $\circ\mathfrak{i} \dots \mathfrak{i}\mathfrak{z}$ equals the longest size of the I_k 's minus 5,

- where, for all $a \in \Sigma_{\mathfrak{u}}$ and $i \in 1..n$,

$$I_{\pi(i,a)} = \begin{cases} \overline{[q_i, abs, q_j]}, & \text{if there exists } b, s, j \text{ with } [q_i, abs, q_j] \in P'_2, \\ \circ\mathfrak{i}, & \text{otherwise,} \end{cases}$$

- with,

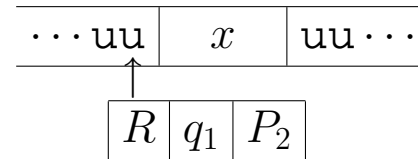
$$\overline{[q_i, a, b, s, q_j]} = \begin{cases} \mathfrak{i}a_m \dots a_2\circ, & \text{if } \pi(j) - h(i, a) > 0, \\ \circ a_2 \dots a_m \mathfrak{i}, & \text{if } \pi(j) - h(i, a) < 0, \end{cases}$$

- with a_2a_3 equal to $\mathfrak{i}\circ, \circ\mathfrak{i}, \mathfrak{i}\mathfrak{i}$, depending whether b equals $\mathfrak{u}, \circ, \mathfrak{i}, \mathfrak{z}$,
- with $a_4 = \circ$ or $a_4 = \mathfrak{i}$ depending whether $s = +$ or $s = -$,
- with $\mathfrak{i}a_m \dots a_5$ a binary number (\circ for 0 and \mathfrak{i} for 1) whose value is equal to

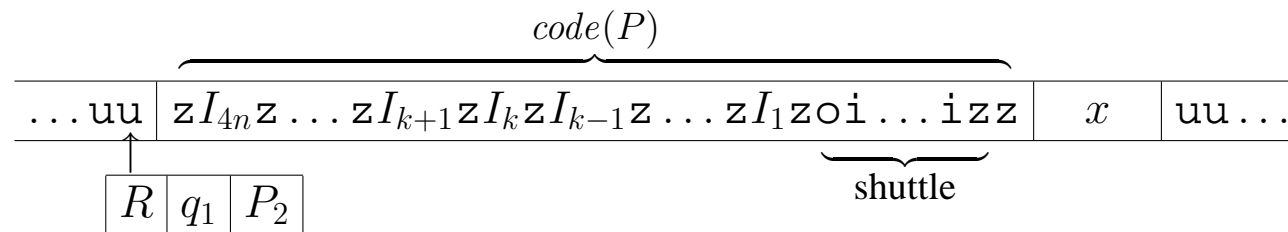
$$|\pi(j) - \pi(i, a)| + \frac{3}{2}.$$

How program U_2 operates

- Initial configuration of P_2

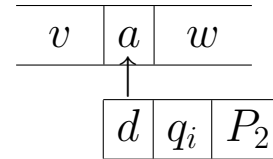


- Initial corresponding configuration of U_2

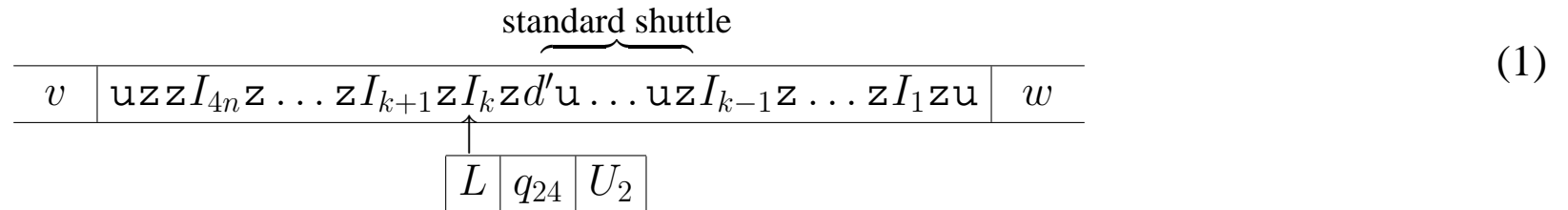


How program U_2 operates, next 1

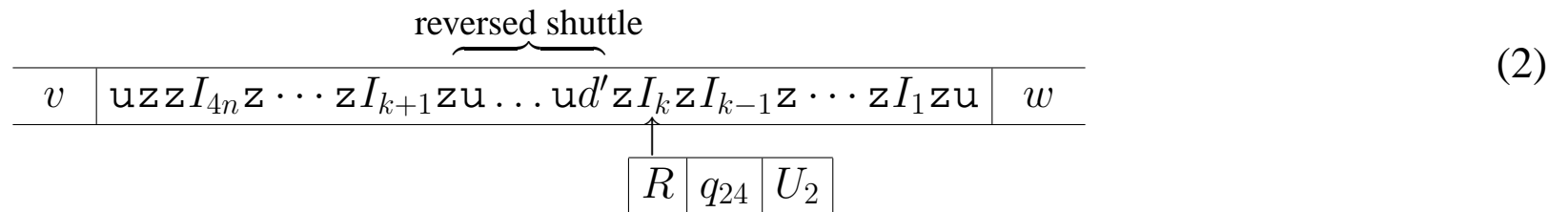
- Current configuration of P_2



- Current corresponding configuration of U_2

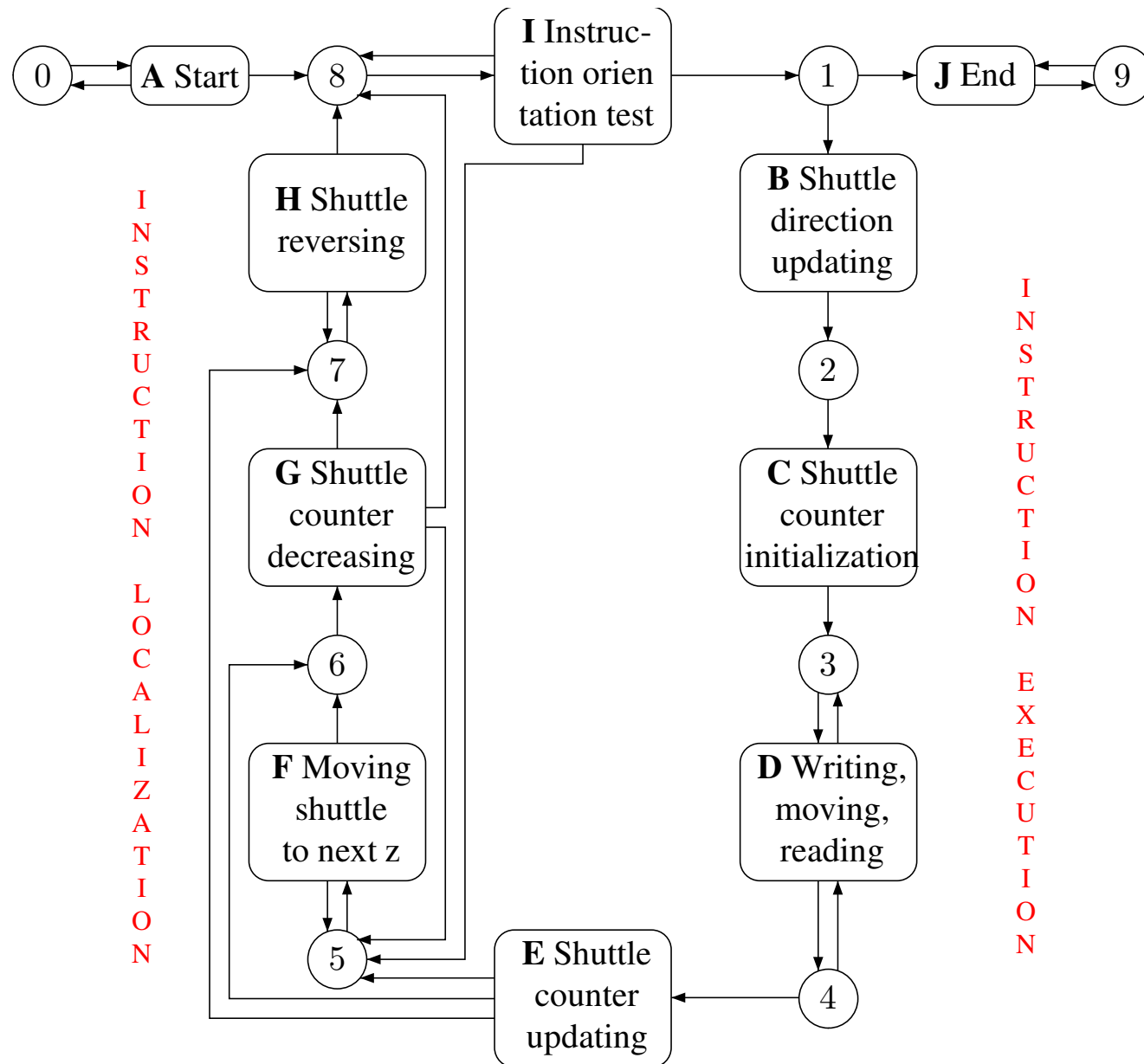


or



depending whether I_k , with $k = \pi(i, a)$, is in the *standard* form $i a_m \dots a_2 \circ$ or in the *reversed* form $i a_m \dots a_2 \circ$. The read-write points to a_3 or to the z which follows I_k when I_k is the empty instruction $\circ i$. Depending whether d is equal to L or R , the symbol d' is equal to u or \circ , if I_k is standard, and to \circ or u , if I_k is reversed.

Architecture of program U_2



Listing of program U_2

54 states and 184 instructions

A BEGINNING

```
[X0,uz+,A1],    [X0,oo+,A1],    [X0,ii+,A1],    [X0,zu-,X7],
                [A1,oo+,A1],    [A1,ii+,A1],    [A1,zz+,X0],
                                                [X7,zz+,X8],
```

B INSTRUCTION TAIL COPYING

```
                [X1,oo+,B1],    [X1,ii+,B1],
                [B1,oo+,B5],    [B1,iu-,B2],
                [B2,oo+,B2],    [B2,ii+,B2],    [B2,zz+,B3],
                [B3,oi-,B4i],    [B3,io-,B4i],
[B4o,uo+,B5],    [B4o,oo+,B4o],    [B4o,ii+,B4o],    [B4o,zz+,B4o],
[B4i,ui+,B5],    [B4i,oo+,B4i],    [B4i,ii+,B4i],    [B4i,zz+,B4i],
[B5,uu-,B6],    [B5,ou-,B4o],    [B5,iu-,B4i],    [B5,zz-,B7],
                [B6,oo+,B4o],    [B6,ii+,B4i],
```

Replacement of the remaining u's by o's

```
[B7,uo+,B9],    [B7,oo+,B7],    [B7,ii+,B7],    [B7,zz+,B7],
[B9,uo+,B9],    [B9,oo+,X2],    [B9,zz-,B10],
                [B10,oo+,B10],    [B10,ii+,B10],    [B10,zz+,B9],
```

C INSTRUCTION HEAD COPYING

Creating the symbol to be written

```
                [X2,oo+,C2],    [X2,iu-,C1],
[C1,ui+,C2],    [C1,oi+,C1],    [C1,io+,C1],    [C1,zu-,C1],
                [C2,oo-,C3o],    [C2,ii-,C3i],
[C3o,uo+,C4],    [C3o,oo+,C3o],    [C3o,ii+,C3o],    [C3o,zu+,C4],
[C3i,uz+,C4],    [C3i,oo+,C3i],    [C3i,ii+,C3i],    [C3i,zi+,C4],
```

Taking in account the direction

```
                [C4,oi-,C5],    [C4,ii-,X3],
[C5,uu+,C6],    [C5,oo+,C6],    [C5,ii+,C6],    [C5,zz+,C6],
                [C6,oo-,X3],
```

Listing of program U_2 , next 1

```

# D WRITING, MOVING AND READING
# Writing and reading
[X3,uu-,D1u], [X3,ou-,D1o], [X3,iu-,D1i], [X3,zu-,D1z],
[D0,uu-,D1z], [D0,ou-,D1i], [D0,iu-,D1o], [D0,zu-,D1u],
[D1u,uu-,X4], [D1u,oo+,D1u], [D1u,ii+,D1u], [D1u,zz+,D1u],
[D1o,uo-,X4], [D1o,oo+,D1o], [D1o,ii+,D1o], [D1o,zz+,D1o],
[D1i,ui-,X4], [D1i,oo+,D1i], [D1i,ii+,D1i], [D1i,zz+,D1i],
[D1z,uz-,X4], [D1z,oo+,D1z], [D1z,ii+,D1z], [D1z,zz+,D1z],
# Moving
[X4,zu+,D2z],
[D2u,ou+,D2o], [D2u,iu+,D2i],
[D2o,uo+,D2u], [D2o,oo+,D2o], [D2o,io+,D2i], [D2o,zo+,D2z],
[D2i,ui+,D2u], [D2i,oi+,D2o], [D2i,ii+,D2i], [D2i,zi+,D2z],
[D2z,uz+,X3], [D2z,oz+,D2o], [D2z,iz+,D2i], [D2z,zz+,D2zz],
[D2zz,uz+,D0], [D2zz,oz+,D2o],

# E SHUTTLE UPDATING
# Beginning of the updating
[X4,oo-,E1b], [X4,io-,E1a],
[E1a,uz-,E2a], [E1a,oz-,E2b], [E1a,iz-,X6], [E1a,zz-,X5],
[E1b,uz+,X5], [E1b,oz+,X6], [E1b,iz+,E2b], [E1b,zz+,E2a],
# End of the updating
[E2a,oo+,E2b], [E2a,iu+,E2b],
[E2b,oo+,E4], [E2b,ii+,E4],
[E4,oi+,E4], [E4,io-,E5], [E4,zz-,X7],
[E5,uu+,E5], [E5,oo+,E5], [E5,ii+,E5], [E5,zz-,X6],

```

Listing of program U_2 , next 2

```

# F SUTTLE MOVING TO NEXT z
[X5,uu+,X5],    [X5,oo+,X5],    [X5,ii+,X5],    [X5,zz-,F1],
[F1,uz+,F2u],  [F1,oz+,F2o],
[F2u,uu+,F2u], [F2u,ou+,F2o], [F2u,iu+,F2i], [F2u,zu+,F3],
[F2o,uo+,F2u], [F2o,oo+,F2o], [F2o,io+,F2i], [F2o,zo+,F3],
[F2i,ui+,F2u], [F2i,oi+,F2o], [F2i,ii+,F2i], [F2i,zi+,F3],
                [F3,oz-,F4o], [F3,iz-,F4i], [F3,zz-,X6],
[F4o,uu+,F4o], [F4o,oo+,F4o], [F4o,ii+,F4o], [F4o,zo-,F1],
[F4i,uu+,F4i], [F4i,oo+,F4i], [F4i,ii+,F4i], [F4i,zi-,F1],

# G SHUTTLE DECREASING
[X6,uu+,G1],    [X6,oo+,G1],    [X6,iu+,G1],
[G1,uu-,X7],    [G1,oi+,G1],    [G1,io+,X5],    [G1,zz-,X8],

# H SHUTTLE REVERSING AFTER BLANK SYMBOLS INTRODUCTION
[X7,uu-,E2a],  [X7,ou-,E2b],  [X7,iu+,X7],
[E2a,uu+,E2a],                                [E2a,zz-,I1],
[E2b,uu+,E2b],                                [E2b,zz-,I2],
[I1,uo-,X8],
[I2,ui-,X8],

# I INSTRUCTION ORIENTATION TEST AFTER BLANK SYMBOLS INTRODUCTION
[X8,ui+,X8],   [X8,oo+,X8],   [X8,iu+,X8],   [X8,zz+,I1],
                [I1,oo+,I2],   [I1,ii-,I2],
                [I2,oo+,X1],   [I2,ii+,X1],   [I2,zz+,X5],

# J END OF THE PROGRAM
                [X1,zz+,X9],
                [X9,oo+,X9],   [X9,ii+,X9],   [X9,zz+,X9]];

```


Complexity and introspection coefficient of $(U_1, code_1)$ and $(U_2, code_2)$

General complexity of $(U_1, code_1)$ and $(U_2, code_2)$

• Let $(U_1, code_1)$ be the universal pair $(f_2(U_2), code_2 \circ f_1)$ for the Turing machine \mathcal{M}_1 of same alphabet $\Sigma = \{o, i, z\}$ then \mathcal{M}_2 .

• U_1 has 361 instructions and 106 states, while U_2 has 184 instructions and 54 states.

• For $\ell = 1$ and $\ell = 2$, and any program P_ℓ for \mathcal{M}_ℓ ,

$$\boxed{|code_\ell(P_\ell)| = \mathcal{O}(n \log n)},$$

where n is the number of states of $clean(P_\ell)$.

• There exists a positive real number k , not dependent on $x \in \Sigma^*$, such that

$$\boxed{\lambda_\ell(P_\ell, x) \leq n \log^2 n}$$

• If $m = |code_\ell(P_\ell)|$, then there exists a positive real number k , not dependent on $x \in \Sigma^*$, such that

$$\boxed{\lambda_\ell(P_\ell, x) \leq m \log m}$$

Complexity of $(U_1, code_1)$ and $(U_2, code_2)$ on examples

- For $\ell = 1$ and $\ell = 2$.

x	$cost(P_\ell, x)$	$cost(U_\ell, code_\ell(P_\ell) \cdot x)$	$cost(U_\ell, code_\ell(U_\ell) \cdot code_\ell(P_\ell) \cdot x)$	$\lambda_\ell(P_\ell, x)$	$\lambda_\ell(U_\ell, code_\ell(P_\ell) \cdot x)$
ε	2	5 927	22 974 203	2 963.50	3 876.19
\circ	6	13 335	51 436 123	2 222.50	3 857.23
$\circ i$	12	23 095	88 887 191	1 924.58	3 848.76
$\circ i z$	20	35 377	136 067 693	1 768.85	3 846.22
$\circ i z \circ$	30	49 663	190 667 285	1 655.43	3 839.22

-

- Here P_ℓ , with $P_1 = f_2(P_2)$, is a reversing program of 32 instructions and 9 states, such that, for all $n \geq$,

$$out(P_2, a_1 a_2 \dots a_n) = a_n \dots a_2 a_1,$$

with the a_i 's taken from $\{\circ, i, z\}$.

- For $\ell = 1$ and $\ell = 2$, $|code_\ell(P_\ell)| = 265$ and $|code_\ell(U_\ell)| = 1552$.

Introspection coefficient of $(U_1, code_1)$ and $(U_2, code_2)$

• In order to satisfy our Hypothesis, $code_2(U_2) = f_1(f_2(code_2(U_2)))$ and the labeling function μ is defined so that, for all transitions (c_1, c_2) and (c'_1, c'_2) of $\cup \mathcal{M}_2$, the integers $\mu(c_1, c_2)$ and $\mu(c'_1, c'_2)$ are equal if and only if together:

- the states of c_1 and c'_1 are equal,
 - the symbols pointed by the read-write heads in c_1 and c'_1 are equal,
 - the symbols pointed by the read-write heads in c_2 and c'_2 are equal,
 - the directions in c_2 and c'_2 are the same.
- The function Φ is defined, for all configuration of U_2 , with $P_2 = U_2$ by,

$$\Phi(c) = \begin{cases} \text{current configuration corresponding to } c, & \text{if } c \text{ is not final for } P_2, \\ \text{final configuration corresponding to } c, & \text{if } c \text{ is final for } P_2. \end{cases}$$

• After having computed the column vector B and the matrix A , using Theorem 2, we have verified that U_2 admits an introspect coefficient and computed its value: for $\ell = 2$ and all words x on Σ such that $cost(P, x) \neq \infty$,

$$\boxed{\lim_{n \rightarrow \infty} \frac{cost(U_\ell, code_\ell(U_\ell)^{n+1} \cdot x)}{cost(U_\ell, code(U_\ell)^n \cdot x)} = 3672.98}$$

• This result also holds for $\ell = 1$.

Universal pair $(U_3, code_3)$ for arithmetic machine with indirect addressing

Execution of P_3

- Current configuration

R_0	R_1	R_2	
0	3	2	

$$P_3 = \left\{ \begin{array}{l} [0, \textit{plus}, 2, 1], \\ [1, \textit{cst}, 11, 1], \\ [2, \textit{from}, 5, 2], \\ [3, \textit{ifzero}, 5, 8], \\ [4, \textit{sub}, 5, 11], \\ [5, \textit{to}, 2, 5], \\ [6, \textit{plus}, 2, 11], \\ [7, \textit{cst}, 0, 1] \end{array} \right\}$$

- Next current configuration

R_0	R_1	R_2	
1	3	5	

Execution of U_3

- Current corresponding configuration

R_0	R_1	R_2				
50			P	0	3	2

$$U = \left\{ \begin{array}{l} [0, \textit{cst}, 8, 0], \\ [1, \textit{cst}, 10, 2], \\ [2, \textit{cst}, 11, 11], \\ \dots \\ [99, \textit{cst}, 0, 49], \\ [100, \textit{plus}, 9, 1], \\ [101, \textit{from}, 9, 9], \\ [102, \textit{plus}, 1, 9] \end{array} \right\}$$

- Next current corresponding configuration

R_0	R_1	R_2				
50			P	1	3	5

Listing of program U_3

```
# INITIALISATION
# OF THE REGISTERS
[0,cst,8,0],
[1,cst,10,2],
[2,cst,11,11],
[3,cst,12,20],
[4,cst,13,26],
[5,cst,14,33],
[6,cst,15,67],
[7,cst,16,68],
[8,cst,17,70],
[9,cst,18,76],
[10,cst,19,82],
[11,cst,20,88],
[12,cst,21,94],

# ENCODING OF THE
# EMULATED PROGRAM
# INITIALISATION OF THE
# SOURCE POSITION R[1] AND
# THE BOOLEEN VALUE c
[13,cst,2,24],
[14,cst,5,1],
[15,cst,0,16],

# INCREASING THE
# SOURCE POSITION R[1]
[16,plus,1,9],
# CASE STUDY ACCORDING
# TO THE VALUE a OF R[R[1]]
[17,from,3,1],
[18,to,1,8],
[19,plus,3,11],
[20,from,0,3],
# CASE WHERE a=1
[21,ifzero,5,24],
[22,cst,5,0],
[23,cst,4,0],
[24,plus,4,4],
[25,plus,4,9],
[26,cst,0,15],
# CASE WHERE a=2
[27,ifzero,5,30],
[28,cst,5,0],
[29,cst,4,0],
[30,plus,4,4],
[31,plus,4,9],
[32,plus,4,9],
[33,cst,0,15],

# CASE WHERE a=3
[34,ifzero,5,36],
[35,cst,0,40],
[36,plus,2,9],
[37,sub,4,9],
[38,to,2,4],
[39,cst,5,1],
[40,cst,0,15],
# END
[41,to,1,8],
[42,cst,4,1],
[43,plus,4,1],
[44,cst,6,25],
[45,to,4,6],

# PROGRAM EMULATION
# SKIP INCREMENTATION
# OF THE INSTRUCTION COUNTER
[46,cst,0,49],
# INCREASING
# THE INSTRUCTION COUNTER
[47,from,6,1],
[48,plus,6,9],
[49,to,1,6],
```

Listing of program U_3 , next

```

# COMPUTING THE POSITION      [65,cst,6,15],
# OF INSTRUCTION NB ZERO   [66,plus,6,3],
[50,from,7,1],             [67,from,0,6],
[51,cst,6,25],             # NO INSTRUCTION
[52,plus,6,7],             [68,cst,0,99],
[53,plus,6,7],             # CONSTANT INSTRUCTION
[54,plus,6,7],             [69,to,4,5],
# HALTING TEST             [70,cst,0,46],
[55,cst,7,0],             # PLUS INSTRUCTION
[56,plus,7,1],             [72,plus,5,1],
[57,sub,7,6],             [73,from,5,5],
[58,ifzero,7,100],        [74,plus,6,5],
# COMPUTING a:=R[R[6]],    [75,to,4,6],
[59,from,3,6],            [76,cst,0,46],
# COMPUTING b:=R[R[6]]+R[1] # MINUS INSTRUCTION
[60,plus,6,9],            [77,from,6,4],
[61,from,4,6],            [78,plus,5,1],
[62,plus,4,1],            [79,from,5,5],
# COMPUTING c:=R[R[4]+2]; [80,sub,6,5],
[63,plus,6,9],            [81,to,4,6],
[64,from,5,6],            [82,cst,0,46],
# CASE STUDY ACCORDING    # FROMINDIRECT INSTRUCTION
# TO THE VALUE OF a      [83,plus,5,1],
[84,from,5,5],
[85,plus,5,1],
[86,from,5,5],
[87,to,4,5],
[88,cst,0,46],
# TOINDIRECT INSTRUCTION
[89,from,4,4],
[90,plus,4,1],
[91,plus,5,1],
[92,from,5,5],
[93,to,4,5],
[94,cst,0,46],
# IFZERO INSTRUCTION
[95,from,4,4],
[96,ifzero,4,98],
[97,cst,0,46],
[98,to,1,5],
[99,cst,0,49],
# END
[100,plus,9,1],
[101,from,9,9],
[102,plus,1,9].

```


Complexity and introspection coefficient of $(U_3, code_3)$

- **Property** There exists a positive number k such that, for all programs P_3 and word x on Σ , with $cost(P_3, x) \neq \infty$,

$$\lambda(P_3, x) = \frac{cost(U_3, code_3(P_3) \cdot x)}{cost(P_3, x)} \leq 35 + k \frac{|code(P)|}{cost(P_3, x)}$$

- On particular examples we have obtained the following results:

x	$cost(P_3, x)$	$cost(U_3, code_3(P_3) \cdot x)$	$cost(U_3, code_3(U_3) \cdot code_3(P_3) \cdot x)$	$\lambda(P_3, x)$	$\lambda(U_3, code_3(P_3) \cdot x)$
ε	12	2 372	72 110	197.66	30.40
\circ	16	2 473	74 758	154, 56	30.23
$\circ i$	31	2 860	84 916	92.26	29.69
$\circ i z$	35	2 961	87 564	84.60	29.57
$\circ i z \circ$	50	3 348	97 722	66.96	29.19

where P_3 is a reversing program of 21 instructions such that, for all $n \geq 0$

$$out(P_3, a_1 a_2 \dots a_n) = a_n \dots a_2 a_1,$$

with the a_i 's taken from $\{\circ, i, z\}$. For information, $|code_3(P_3)| = 216$ and $|code_3(U_3)| = 1042$.

- As introspection coefficient we have obtained:

$$\lim_{n \rightarrow \infty} \frac{cost(U_3, code(U_3)^{n+1} \cdot x)}{cost(U_3, code(U_3)^n \cdot x)} = 26, 27$$

Conclusion

Open problem

• Given a first universal pair $(U, code)$ for a Turing machine \mathcal{M} , by "cheating", it is possible to construct a second universal pair $(U', code')$ for \mathcal{M} with introspection coefficient equal to 1.

• A first way of "cheating" consists of taking $U' = U$ and

$$code'(P) = \begin{cases} \varepsilon, & \text{if } P = U, \\ code(P), & \text{if } P \neq U. \end{cases}$$

Then

$$\frac{cost(U', code(U')^{n+1} \cdot x)}{cost(U', code(U')^n \cdot x)} = \frac{cost(U', x)}{cost(U', x)} = 1$$

and $(U, code')$ is a universal pair with introspection coefficient equal to 1.

Open problem, next

• A second way of "cheating" consists in keeping $code' = code$ and constructing a program U' , which, after having erased as many times as possible a given word z occurring as prefix of the input, behaves as U on the remaining input. According to the recursion theorem it is possible to take z equal to $code(U')$ and thus to obtain a universal program U' such that, for all $y \in \Sigma^*$ having not $code(U)'$ as prefix,

$$cost(U', code(U')^n \cdot y) = nk_1 + k_2(y), \quad (3)$$

where k_1 and $k_2(y)$ are positive integers, with k_1 not depending on y . Thus we have :

$$\begin{aligned} \frac{cost(U', code(U')^{n+1} \cdot y)}{cost(U', code(U')^n \cdot y)} &= \frac{cost(U, x) + (n+1)k_1 + k_2(y)}{cost(U, x) + nk_1 + k_2(y)} = \\ &= 1 + \frac{k_1}{cost(U, x) + k_2(y) + nk_1}. \end{aligned}$$

By letting n tend toward infinity we obtain an introspection coefficient equal to 1 for the pair $(U', code')$.

• **Open problem** How to express in the definition of the introspection coefficient that the function $code$ and the program U should not distinguish the case $P = U$ from the case $P \neq U$.

- Given the fact that a Turing machine with a universal program models the way our brain operates,
- given the fact that we should think about what we intend to say,
- given the fact that the introspection coefficient of our universal Turing program is 3673,
- do not think twice before you speak, but 3673 times.